

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

15568.1

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

10/009102

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/GB00/02137

2 June 2000 (02.06.00)

4 June 1999 (04.06.99)

TITLE OF INVENTION

## SEALED ENCLOSURE STERILIZATION

APPLICANT(S) FOR DO/EO/US

WATLING, David

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Postcard

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|---|---|---|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101)<br><div style="font-size: 24pt; font-weight: bold;">10/009102</div> | INTERNATIONAL APPLICATION NO.<br><div style="font-weight: bold;">PCT/GB00/02137</div> | ATTORNEY'S DOCKET NUMBER<br><div style="font-weight: bold;">15568.1</div> |
|---|---|---|

|   |              |              |           |  |    |
|---|--------------|--------------|-----------|--|----|
| 24. The following fees are submitted:<br><b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b><br><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00<br><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00<br><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00<br><div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> |              |              |           | CALCULATIONS PTO USE ONLY  |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |              |              |           | <div style="border: 1px solid black; width: 100px; height: 30px; margin: 0 auto;"></div> |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE      |  |    |
| Total claims  | 13 - 20 =    | 0            | x \$18.00 | \$0.00   |    |
| Independent claims  | 2 - 3 =      | 0            | x \$84.00 | \$0.00   |    |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/>   |              |              |           | \$0.00   |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |           | <b>\$890.00</b>  |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.   |              |              |           | \$0.00   |    |
| <b>SUBTOTAL =</b>   |              |              |           | <b>\$890.00</b>  |    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |              |              |           | \$0.00   |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |              |           | <b>\$890.00</b>  |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>  |              |              |           | \$0.00   |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |              |           | <b>\$890.00</b>  |    |
|   |              |              |           | Amount to be: refunded   | \$ |
|   |              |              |           | charged  | \$ |

☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3178 A duplicate copy of this sheet is enclosed.  
 d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

|  |   |
|--|---|
| SEND ALL CORRESPONDENCE TO:<br><br><div style="border: 1px solid black; padding: 5px;"> <b>TANGREN, Dana L.</b><br/> <b>WORKMAN, NYDEGGER &amp; SEELEY</b><br/>           1000 Eagle Gate Tower<br/>           60 East South Temple<br/>           Salt lake City, Utah 84111<br/>           801.533.9800         </div> | <div style="text-align: center;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">SIGNATURE</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">Dana L. Tangren</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">NAME</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">37,246</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">REGISTRATION NUMBER</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">December 4, 2001</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">DATE</div> |
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10/009102

JC10 Recd PCT/PTL 04 DEC 2001

Express Mail Label No. EL 814 017 285 US

PATENT APPLICATION

Docket No. 15568.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Inventor: David Watling )  
International )  
Application Number: PCT/GB00/02137 )  
U.S. Filing Date: )  
For: SEALED CLOSURE STERILIZATION )

PRELIMINARY AMENDMENT

Box PCT  
Honorable Commissioner of Patents and Trademarks  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Prior to calculating the filing fee for the above-identified patent application, please  
incorporate the following amendments:

IN THE SPECIFICATION

At the end of the application insert the following Abstract.

## --ABSTRACT OF THE DISCLOSURE

An apparatus for sterilising a sealed enclosure (1) includes a fan (8) for circulating a gas through a preparation circuit (11) and through the enclosure. The preparation circuit includes an evaporation chamber (10) for dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure and to reach a concentration in the enclosure above the dew point for the ambient temperature in the enclosure and thereby to condense onto surfaces in the enclosure to sterilise such surfaces. A monitor (15) measures gas temperature and dew point/condensation are monitored (17, 18) in the enclosure. The resulting signals led to a control module (19) for controlling the rate of dispensing of the decontaminant gas and water vapour into the gas in the preparation circuit in response to the levels determined by said monitoring to provide a required level of condensation of the decontaminant gas and water vapour in the enclosure.

## IN THE CLAIMS

Please cancel claims 1-12.

Please add the following new claims 13-25:

13. (New) A method of sterilising a sealed enclosure comprising: circulating a gas through the enclosure and through a preparation region, dispensing a mixture of decontaminant gas and water vapour into the circulating gas in the preparation region to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point of the gas and water vapour mixture for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein the gas temperature in or exiting the enclosure or entering the preparation region, decontaminant gas concentration in or exiting the enclosure or entering the preparation region and condensation of the decontaminant gas in the enclosure are monitored, and the dispensing of mixture of decontaminant gas and water vapour into the gas in the preparation region is controlled in response to the levels determined by said monitoring to provide a requisite level of condensation of the decontaminant gas/water vapour in the enclosure.

14. (New) A method of sterilising a sealed enclosure as claimed in claim 13, wherein the gas circulated through the enclosure is air.

15. (New) A method as claimed in claim 13, wherein the gas is filtered in said preparation region prior to circulation through the enclosure.

16. (New) A method as claimed in claim 14, wherein the gas is filtered in said preparation region prior to circulation through the enclosure.

17. (New) A method of sterilising a sealed enclosure as claimed in claim 13, wherein means are provided for monitoring the gas pressure in the enclosure and means are provided for adjusting the gas pressure therein by controlling the supply of gas circulating through the enclosure.

18. (New) A method as claimed in claim 13, wherein after a sufficient amount of decontaminant gas has been condensed in the chamber to achieve decontamination, supply of the decontaminant gas and water vapour mixture to the preparation region is terminated and the decontaminant gas is removed from the sealed enclosure.

19. (New) A method of sterilising a sealed enclosure as claimed in claim 18, wherein the method of moving the decontaminant gas from the sealed enclosure comprises:

passing clean filtered gas through the enclosure and releasing the gas exiting the enclosure to atmosphere; or

circulating the gas exiting the enclosure through an auxiliary circuit containing a catalytic decomposition device or absorption device for the decontaminant gas to remove the decontaminant gas.

20. (New) An apparatus for sterilising a sealed enclosure comprising means (8) for circulating a gas through a preparation region (3) and through the enclosure (1) means (10) in the preparation region for dispensing a mixture of decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces wherein means (15) are provided for monitoring gas temperature in or exiting the enclosure or entering the preparation region, means (17, 18) are provided for monitoring the condensation of the decontaminant gas in or exiting the enclosure or entering the preparation region and said means (19) for controlling the dispensing of the mixture of decontaminant gas and water vapour into the gas in the preparation region are controlled in response to the levels determined by said monitoring to provide a predetermined level of condensation of the mixture of decontaminant gas and water vapour in the enclosure.

21. (New) An apparatus as claimed in claim 20, further comprising means for circulating air through the preparation region and enclosure to convey the decontaminant gas/water vapour mixture to the enclosure.

22. (New) An apparatus as claimed in claim 20, further comprising means for filtering the gas in said preparation region prior to circulation through the enclosure.

23. (New) An apparatus as claimed in 20, further comprising:

means for monitoring the gas pressure in the enclosure; and

means for adjusting the gas pressure therein by controlling the supply of gas circulating through the enclosure.

24. (New) An apparatus as claimed in claim 20, wherein the control means are arranged to terminate supply of the decontaminant gas and water vapour mixture in the preparation region after a sufficient amount of decontaminant gas has condensed in the enclosure to achieve decontamination and for removing the decontaminant gas from the enclosure.

25. (New) An apparatus as claimed in claim 24, wherein the means for removing the decontaminant gas from the sealed enclosure comprises means for passing clean filtered gas through the enclosure and releasing the gas exiting the enclosure to atmosphere, or means for circulating the gas exiting the enclosure through an auxiliary circuit containing a catalytic decomposition device or absorption device for the decontaminant gas to remove the decontaminant gas.

#### REMARKS

By this Preliminary Amendment, applicant has added an Abstract of the Disclosure. The added abstract is supported by the abstract of the International Application to which the present national application claims priority. As required, a copy of the abstract is also attached hereto on a separate sheet of paper. Claims 1-12 have been canceled while claims 13-25 have been added. New claims 13-25 are supported by original claims 1-12 and have simply been redraft to remove multiple

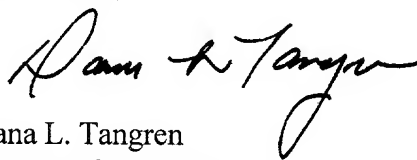


dependencies and to place the claim language in a more conventional format for U.S. prosecution. Applicant submits that the claim amendments are formal in nature and were not made with regard to patentability. For the foregoing reasons, applicant respectfully submits that the amendments to the specification and the claims do not introduce new matter, and entry thereof is respectfully requested.

In view of the forgoing, claims 13-25 are presented for the Examiner's consideration on the merits.

Dated this 4<sup>th</sup> day of December, 2001.

Respectfully submitted,



Dana L. Tangren  
Attorney for Applicant  
Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800  
Fax: (801) 328-1707

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[illegible]

For the purpose of this patent the term decontaminate shall in future include both chemical and microbiological decontamination. Microbiological decontamination shall mean the reduction of the viable

bioburden, which is generally described either as sterilisation, sanitation or disinfection.

5 This invention provides a method of sterilizing a sealed enclosure comprising the steps of: circulating the gas through the enclosure, and through a preparation region, in the preparation region dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a  
10 concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein the gas temperature and the condensation of the decontaminant gas in the enclosure are monitored and the dispensing of the decontaminant  
15 gas and water vapour into the gas in the preparation region is controlled in response to the levels determined by said monitoring to provide a requisite level of condensation of the decontaminant gas/water vapour in the enclosure.  
20

The term "sealed enclosure" shall include any chamber or room that may for practical purposes be sealed so as to prevent the escape of such amounts of active gas as to  
25 cause a hazard.

The sealed enclosure is connected to a means of processing by two pipes through which air or a mixture of air and gases, where the gases are hydrogen peroxide and water vapour, may circulate. The air or mixture of  
30 air and gases being delivered from the means of processing to the sealed enclosure to then be returned to the processing means or alternatively a flow through system where the air or air/gas mixture is vented from the sealed enclosure in a safe manner. The air or  
35 mixture of air and gases on entering the means of processing may, if necessary, first pass through a

| 1.1.1       |             | 1.1.2       |             |
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| 1.1.1.1.73  | 1.1.1.1.74  | 1.1.2.1.73  | 1.1.2.1.74  |
| 1.1.1.1.75  | 1.1.1.1.76  | 1.1.2.1.75  | 1.1.2.1.76  |
| 1.1.1.1.77  | 1.1.1.1.78  | 1.1.2.1.77  | 1.1.2.1.78  |
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| 1.1.1.1.101 | 1.1.1.1.102 | 1.1.2.1.101 | 1.1.2.1.102 |
| 1.1.1.1.103 | 1.1.1.1.104 | 1.1.2.1.103 | 1.1.2.1.104 |
| 1.1.1.1.105 | 1.1.1.1.106 | 1.1.2.1.105 | 1.1.2.1.106 |
| 1.1.1.1.107 | 1.1.1.1.108 | 1.1.2.1.107 | 1.1.2.1.108 |
| 1.1.1.1.109 | 1.1.1.1.110 | 1.1.2.1.109 | 1.1.2.1.110 |
| 1.1.1.1.111 | 1.1.1.1.112 | 1.1.2.1.111 | 1.1.2.1.112 |
|             |             |             |             |

25 Similar systems have been employed for some time for the surface sterilisation of sealed enclosures, but in these applications it has always been considered important to avoid condensation, Patent EP 0 486 623 B1 specifically sets out a table of operation to avoid condensation.

30 The present invention sets out a method of decontamination by micro condensation and provides for a method of control. It has been established that faster and more reliable surface decontamination may be achieved if micro condensation is encouraged and

35 controlled. The dew point of any hydrogen peroxide and water vapour mixture may be ascertained from the activity coefficients for the gases, and by using a

combination of dew point data, the actual dew point within the sealed chamber and the temperature it is possible to calculate the concentration of hydrogen peroxide in the condensate.

5

A knowledge of the condensation parameters, and the amount of condensation allows a prediction of the time at which surface decontamination will occur. For such a system to function reliably it is also essential that there is very good distribution of gas within the sealed enclosure.

10

The active gas in such micro-condensation systems used for decontamination is not limited to hydrogen peroxide but includes a gas or mixture of gases that exhibits the correct vapour pressure characteristics.

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The invention also provides an apparatus for sterilizing a sealed enclosure comprising means for circulating a gas through a preparation region and through the enclosure and means in the preparation region for dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein means are provided for monitoring the temperature and the concentration of the decontaminant gas in the enclosure and means are provided for controlling the rate of dispensing of the decontaminant gas and water vapour into the gas in the preparation region in response to the levels determined by said monitoring to provide a predetermined rate of condensation of the decontaminant gas and water vapour in the enclosure.

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The following is a description of one embodiment of the invention, reference being made to the accompanying drawing which is a diagrammatic illustration of a decontamination apparatus for a sealed enclosure.

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The sealed enclosure 1 is connected to a sealed outlet pipe at 2 which connects to the processing means at 3. The air or air/gas mixture then passes through a filter 4 to remove particulate contamination. As an option if it is considered that the gas mixtures may have partially decomposed in the sealed chamber the air or air/gas mixture may be passed through a purification process 5. Step 5 is only required in exceptional circumstances when significant decomposition of the active gas has taken place. This component would not normally form part of the processing means. The air or air/gas mixture should then be heated in 7 to bring it to a stable temperature before passing to the fan or pump or compressor 8 which is used to drive the air or air/gas mixture through the processing means, the connecting pipes and the sealed enclosure. The volumetric flow is then measured in 9 before the air or air gas mixture is passed to the evaporation chamber 10 where more of the gas mixture is added by evaporation of the decontamination solution on a hot surface. The air or air /gas mixture passes through a filter 25 before entering the evaporation chamber 10 to ensure that particulate matter is removed from the flow. The rate at which the liquid is fed to the evaporation chamber 10 is controlled by the Liquid Flow Module 22.

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Because it may be necessary to control the pressure inside the sealed enclosure a pressure control module 21 is used to raise or lower the pressure by supply or extracting air. Any air added to the system must be filtered 23 and any air extracted must be rendered safe by the removal of any active gas either by absorbing the

- gas or by decomposition with a catalyst 24. The air or air/gas mixture leaves the processing means at 11 through a sealed connecting pipe and is delivered to the sealed enclosure at 12. Within the sealed enclosure is a gas distribution device 13 which generates sufficient turbulence in the air or air/gas mixture within the sealed enclosure to ensure rapid and even distribution of the air or air/gas mixture.
- 10 The gas distribution system in the simplest form would be a circulating fan mounted inside the sealed enclosure which generated sufficient turbulence in the air gas mixture to generate an even distribution of gas. A more affective technique would be to use a nozzle rotating about two axles at right angles directing a jet of gas as it is delivered to the chamber at high velocity over a fixed pattern. The use of such a rotating nozzle has the advantage of generating repeating patterns over the internal surface of the sealed chamber. It also allows the air/gas mixture to be delivered at an optimum temperature from a heated pipe 11 to 12 and by correct design of the nozzle allows the delivered gas velocity to be adjusted to suit the geometry of the chamber.
- 25 A pressure sensing point 14 on the sealed enclosure is connected by a sealed tube to the pressure sensing device at 16. The signal from the pressure sensor is transmitted to the control module 19 which in turn sends signals to the pressure control module 21 to adjust the internal pressure of the sealed enclosure. Such pressure control may be inactivated when it is not possible because of the size of construction of the sealed enclosure or when pressure control is not required. The dew point and condensation monitor 17 is connected electronically to the processing unit 18 which may be either attached to the sealed enclosure or in the processing means. The signal from the dew point and

condensation processing unit is passed to the control module and is used to control the rate of micro-condensation that occurs inside the sealed enclosure. The temperature 15 of the air or the air/gas mixture either inside the sealed enclosure, or leaving the sealed enclosure, or on entering the processing means is measured and the signal passed to the control module 19. A gas sensor 20 measures the gas concentration either inside the sealed enclosure, or on leaving the sealed enclosure, or on entering the processing means. The signal from the gas sensor is transmitted electronically to the control module 19. If the distance from the processing means to the sealed enclosure is great the pipe connecting 11 to 12 should be heated and insulated to maintain the temperature above the dew point of the air/gas mixture being delivered from the evaporation chamber.

#### Method of Control

As the decontamination process relies on micro-condensation on particles on the surface it is important that this process is controlled. This control is achieved with reference to the dew point and rate of condensation as measured on the dew point and condensation sensor 17 together with the temperature sensor 15 and the gas sensor 20.

After an initial stabilisation period during which the air flow and temperature are stabilised, the liquid flow module 22, under the direction of the control module 19 will start to dispense a measured flow of liquid to the evaporation chamber 10. This measure flow of liquid will be turned into a gas mixture in the evaporation chamber and mixed with a measured flow of air as measured by the flow measurement device 9 and controlled via the control module 19 by the fan or pump or



compressor 8.

5 This technique will provide a predetermined air gas  
mixture concentration which will be delivered to the  
sealed enclosure 1 and evenly distributed throughout the  
chamber by the distribution device 13. This air/gas  
mixture must have a concentration above the dew point  
for the temperature of the sealed enclosure 1. Once  
sufficient air/gas mixture has circulated round the  
10 system through the sealed enclosure and the processing  
means to raise the air/gas concentration above the dew  
point then the condensation will occur and be signalled  
by the dew point and condensation sensor 17. From a  
knowledge of the temperature as indicated by the  
15 temperature sensor 15 and the gas concentration as  
indicated by the gas sensor 20 and the dew point it is  
possible to derive the concentration of the sterilant in  
the micro-condensation. Once the dew point has been  
reached the rate of liquid delivered by the liquid flow  
20 module 22 to the evaporation chamber 10 will be adjusted  
to achieve the required rate of condensation in the  
sealed enclosure. After a sufficient amount of  
condensation has occurred as measured by the dew point  
and condensation sensor 17 and also by the amount of  
25 liquid delivered from the liquid flow module 22 to the  
evaporation chamber 10 then the liquid flow is stopped  
as decontamination will have been achieved. The amount  
of condensation in any sealed enclosure to achieve  
decontamination will have to be demonstrated by the use  
30 of a testing technique suitable for the containment.

Once the liquid flow from the liquid flow module 22 to  
the evaporation chamber 10 has been stopped then a  
system to remove the decontaminant gas from the sealed  
35 enclosure 1 must be operated. This may either consist  
of a method of passing clean filtered air through the  
sealed enclosure 1 and passing the air from the sealed

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## CLAIMS

1. A method of sterilizing a sealed enclosure comprising the steps of: circulating the gas through the enclosure, and through a preparation region, in the preparation region dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein the gas temperature and the condensation of the decontaminant gas in the enclosure are monitored and the dispensing of the decontaminant gas and water vapour into the gas in the preparation region is controlled in response to the levels determined by said monitoring to provide a requisite level of condensation of the decontaminant gas/water vapour in the enclosure.
2. A method of sterilizing a sealed enclosure as claimed in claim 1, wherein the gas circulated through the enclosure is air.
3. A method as claimed in claim 1 or claim 2, wherein the gas is filtered in said preparation region prior to circulation through the enclosure.
4. A method of sterilizing a sealed enclosure as claimed in any of the preceding claims, wherein means are provided to monitor the gas pressure in the enclosure and means are provided to adjust the gas pressure therein by controlling the supply of gas circulating through the enclosure.
5. A method as claimed in any of the preceding claims, wherein after a sufficient amount of decontaminant gas has been condensed in the chamber to achieve

decontamination, supply of the decontaminant gas and water vapour mixture to the preparation region is terminated and the decontaminant gas is removed from the sealed enclosure.

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6. A method of sterilizing a sealed enclosure as claimed in claim 5, wherein the method of removing the decontaminant gas from the sealed enclosure comprises the step of passing clean filtered gas through the enclosure and releasing the gas exiting the enclosure to atmosphere, or by circulating the gas exiting the enclosure through an auxiliary circuit containing a catalytic decomposition device or absorption device for the decontaminant gas to remove the decontaminant gas.

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7. An apparatus for sterilizing a sealed enclosure comprising means for circulating a gas through a preparation region and through the enclosure and means in the preparation region for dispensing a decontaminant gas and water vapour mixture into the circulating gas to flow therewith through the enclosure to reach a concentration in the enclosure above the dew point for the ambient temperature in the chamber and thereby to condense onto surfaces in the enclosure to sterilise such surfaces; wherein means are provided for monitoring gas temperature and for monitoring the condensation of the decontaminant gas in the enclosure and means are provided for controlling the dispensing of the decontaminant gas/water vapour into the gas in the preparation region in response to the levels determined by said monitoring to provide a predetermined level of condensation of the decontaminant gas/water vapour in the enclosure.

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8. An apparatus as claimed in claim 7, wherein means are provided for circling air through the preparation region enclosure and to convey the decontaminant

gas/water vapour mixture to the enclosure.

9. An apparatus as claimed in claim 7 or claim 8, wherein means are provided for filtering the gas 7 in said preparation region prior to circulation through the enclosure.

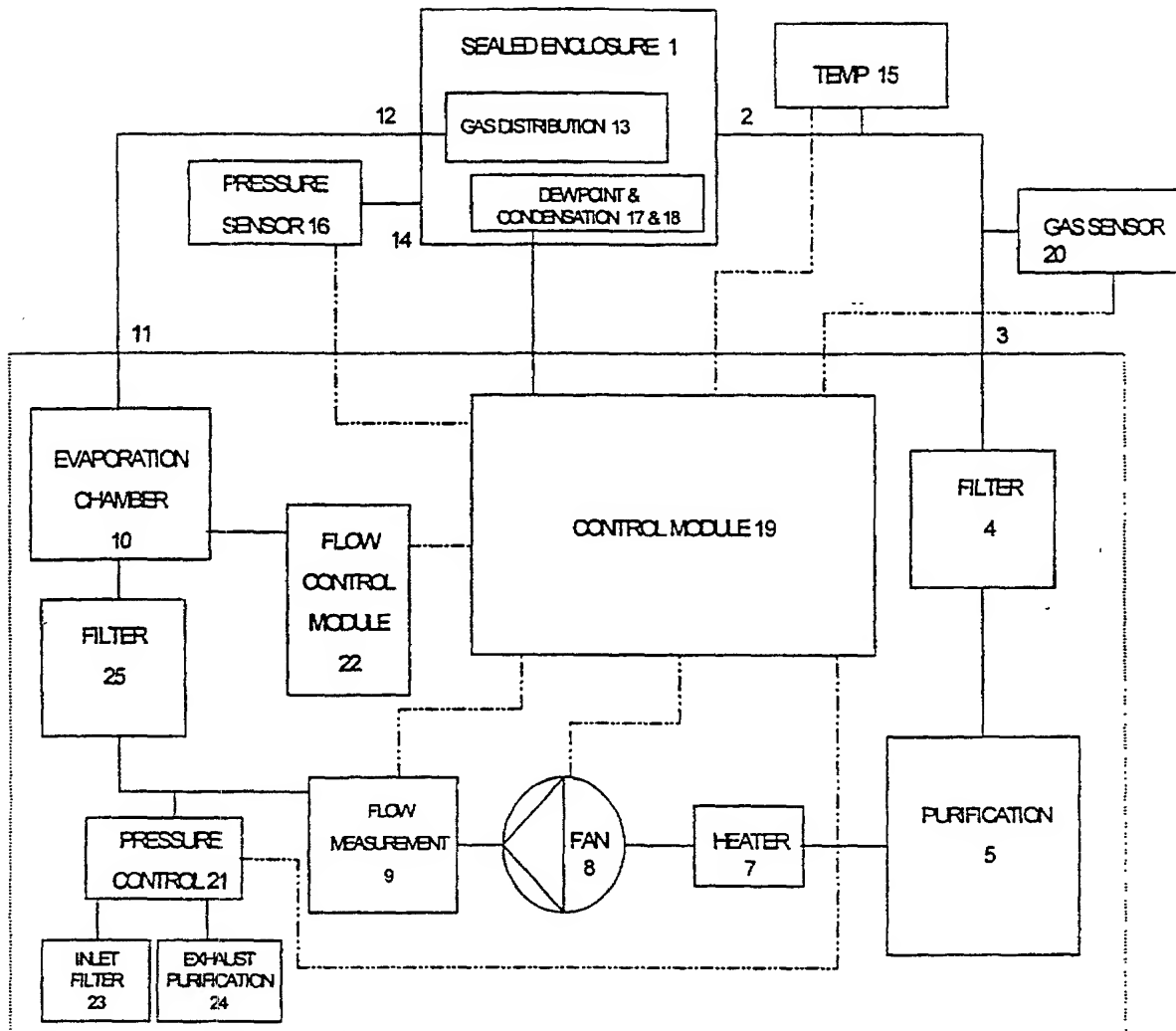
10. An apparatus as claimed in any of claims 7 to 9, wherein means are provided to monitor the gas pressure in the enclosure and means are provided to adjust the gas pressure therein by controlling the supply of gas circulating through the enclosure.

11. An apparatus as claimed in any of the preceding claims, wherein after a sufficient amount of decontaminant gas has been condensed in the chamber to achieve decontamination, means are provided for formulating supply of the decontaminant gas and water vapour mixture to the preparation region after a sufficient amount of decontaminant gas has been condensed in the chamber to achieve decontamination and for removing the decontaminant gas from the sealed enclosure.

12. An apparatus as claimed in claim 11, wherein the means for removing the decontaminant gas from the sealed enclosure comprises means for passing clean filtered gas through the enclosure and releasing the gas exiting the enclosure to atmosphere, or means for circulating the gas exiting the enclosure through an auxiliary circuit containing a catalytic decomposition device or absorption device for the decontaminant gas to remove the decontaminant gas.

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Fig 1



**COMBINED DECLARATION and POWER OF ATTORNEY**  
(Utility, Design, National Stage of PCT)

As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type:

*(Check one applicable item below)*

- ☐ utility patent application  
☐ design patent application  
☒ national stage of PCT patent application

**INVENTORSHIP IDENTIFICATION**

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION<sup>2</sup>**

**SEALED ENCLOSURE STERILIZATION**

**SPECIFICATION IDENTIFICATION**

the specification of which:

*(complete (a), (b), or (c))*

- (a) ☐ is attached hereto.
- (b) ☐ was previously filed \_\_\_\_\_, as United States Patent Application  
Serial No. \_\_\_\_\_.
- (c) ☒ was described and claimed in PCT International Application No.  
PCT/GB00/02137 filed on June 2, 2000 and as amended under PCT Article § 19  
on \_\_\_\_\_ (*if any*).

## ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claim(s), as amended by any amendment specifically referred to in the declaration, referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56.

## FOREIGN PRIORITY CLAIM (35 USC § 119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))<sup>3</sup>

(d) ☐ no such applications have been filed.

(e) ☒ such applications have been filed as follows.

*Note: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below, and make the priority claim.*

## PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS <sup>4</sup> (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

| COUNTRY (OR<br>INDICATE IF PCT) | APPLICATION<br>NUMBER | DATE OF FILING<br>(day, month, year) | PRIORITY CLAIMED<br>UNDER § 119 or § 365 |                             |
|---------------------------------|-----------------------|--------------------------------------|--|-----------------------------|
| PCT                             | PCT/GB00/02137        | 2 June 2000                          | <input checked="" type="checkbox"/> YES  | NO <input type="checkbox"/> |
| Great Britain                   | GB 9913082.5          | 4 June 1999                          | <input checked="" type="checkbox"/> YES  | NO <input type="checkbox"/> |
|                                 |                       |                                      | <input type="checkbox"/> YES             | NO <input type="checkbox"/> |
|                                 |                       |                                      | <input type="checkbox"/> YES             | NO <input type="checkbox"/> |



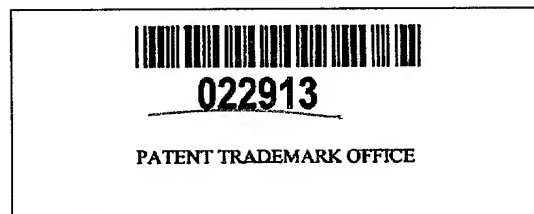
**U.S. PRIORITY CLAIM**  
**(35 USC § 120)**

I hereby claim the benefit under 35 USC § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America listed below, if any, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of title 35 USC § 112, I acknowledge duty to disclose information which is material to patentability as defined in title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international application filing date of this application.

| UNITED STATES or PCT<br>PARENT APPLICATION<br>NO. | PARENT FILING DATE<br>(month, day, year) | PARENT PATENT NO.<br>(if applicable) |
|---|--|--------------------------------------|
|   |  |                                      |
|   |  |                                      |
|   |  |                                      |

**POWER OF ATTORNEY**

I hereby appoint as my attorneys and/or patent agents all attorneys and/or patent agents listed under the following Customer Number, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:



\*\* Customer Number Label \*\*

All correspondence and telephonic communications should be directed to:

DANA L. TANGREN  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone (801) 533-9800  
Facsimile (801) 328-1707

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)<sup>5</sup>

NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other documents

Full name of sole or first inventor

100 DAVID

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

WATLING

FAMILY (OR LAST NAME)

Inventor's signature

Date

\* 10<sup>TH</sup> DEC 2001

\* Country of Citizenship

UNITED KINGDOM

GBX

Residence

HEATHCREST, GUILDFORD ROAD, WESTCOTT, SURREY, RH4 3LB, UNITED KINGDOM

Post Office Address

- same as above -

Full name of second joint inventor, if any

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date

Country of Citizenship

Residence

Post Office Address